IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Joseph Donald Ternasky et al.

:

Atty Docket: 003797.00699

Application No. TBA : Group Art Unit: TBA

Filed: Herewith : Examiner: TBA

:

CHARACTERIZATION PROFILE FORMAT

DEVICE COLOR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

Pursuant to the duty of disclosure under 37 CFR §§ 1.56 and 1.97-1.98, the documents listed on the attached Form PTO/SB/08a are being brought to the attention of the Examiner in charge of the above-identified application.

The Examiner is respectfully requested to initial the space adjacent each document entry on the Form PTO/SB/08a, and to return a copy of the initialed Form PTO/SB/08a to confirm that the documents have been considered and have been officially made of record in this application.

If the Examiner has any questions or wishes to discuss this application, the Examiner is invited to telephone the undersigned representative at the number set forth below.

Any fees required for consideration of this paper are authorized to be charged to our Deposit Account No. 19-0733.

Respectfully submitted, BANNER & WITCOFF, LTD.

Date: <u>December 30, 2003</u>

1001 G Street N.W. 11th Floor Washington, D.C. 20001 (202) 824-3000 Gary D. Fedorochko

Registration No. 35,509

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	for form 1449A/PTC)		Complete if Known		
	_			Application Number	TBD	
INFO	RMATION	DIS	CLOSURE	Filing Date	12/30/03	
STAT	EMENT B	Y A	PPLICANT	First Named Inventor	Joseph Donald Ternasky et al.	
				Art Unit	TBD	
	(use as many she	ets as	necessary)	Examiner Name	TBD	
Sheet	1	of	3	Attorney Docket Number	003797.00699	

			U.S. PATENT	DOCUMENTS		
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Releva	
Initials *	No.'	Number - Kind Code ² (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear	
		US 2003/0140243 A1	07-24-2003	Nusser et al.		
		US-				
		US-				
		US-				
		US-				
		US-				
-		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Dublication	Name of Patentee or	Pages, Columns, Lines,				
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1,8			

Examiner Signature	Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	for form 1449A/PTC)	· · · · · · · · · · · · · · · · · · ·	Complete if Known		
INICO		DIC	OL OCUBE	Application Number	TBD	
		_	CLOSURE	Filing Date	12/30/03	
SIAI	FMFNI B	YA	PPLICANT	First Named Inventor	Joseph Donald Ternasky et al.	
				Group Art Unit	TBD	
((use as many she	ets as	necessary)	Examiner Name	TBD	
Sheet	2	of	3	Attorney Docket Number	003797.00699	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		FRASER et al., Real World Color Management, 2003, pages 100-103, Peachpit Press, Berkeley, CA	
		MESSERGES, Thomas S. et al., "Digital Rights Management in a 3G Mobile Phone and Beyond," October 27, 2003, pages 27-38, DRM'03, Washington, DC USA	
-		WALLNER, Dawn, ICC Specification ICC.1:2000, "Building ICC Profiles - the Mechanics and Engineering," April 2000, pages 6-11 (Chapter 2), http://www.color.org/icc-book1.pdf	
		XML-Signature Syntax and Processing W3C Recommendation of December 10, 2002 (http://www.w3.org/TR/xmlenc-core/), published by the Internet Engineering Task Force (IETF)	
		XML-Signature Syntax and Processing W3C Recommendation of February 12, 2002 (http://www.w3.org.TR /xmldsig-core/). published by the Internet Engineering Task Force (IETF)	
		Namespaces in XML, IETF, World Wide Web Consortium; January 14, 1999 (www.w3.org/TR/1999/REC-xml-names-19990114/))	
		XML Schema Part 0: Primer W3C Recommendation (http://www.w3.org.TR/xmlschema-0/) of May 2, 2001, published by the Internet Engineering Task Force (IETF)	
		XML Schema Part 1: Structures W3C Recommendation (http://www.w3.org.TR/xmlschema-1/) of May 2, 2001, published by the Internet Engineering Task Force (IETF)	
		XML Schema Part 2: Datatypes W3C Recommendation (http://www.w3.org.TR/xmlschema-2/) of May 2, 2001, published by the Internet Engineering Task Force (IETF)	
		Common Language Infrastructure (CLI) (Standard ECMA-335; ECMA International; 2 nd Edition; December 2002 (www.ecma-international.org/ publications/files/ECMA-ST/Ecma-335.pdf))	
i		Advanced Systems Format (ASF) Specification (Revision 01.20.01e; Microsoft Corporation® of Redmond, WA; September 5, 2003 (http://download.microsoft.com/download/e/0/6/e06db390-1e2a-4978-82bb-311819d8a2d/ASF_Specification.doc)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO	Complete if Known		
INICODALATION DICOLOCUDE	Application Number	TBD	
INFORMATION DISCLOSURE	Filing Date	12/30/03	
STATEMENT BY APPLICANT	First Named Inventor	Joseph Donald Ternasky et al.	
	Group Art Unit	TBD	
(use as many sheets as necessary)	Examiner Name	TBD	
Sheet 3 of 3	Attorney Docket Number	003797.00699	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		Kraig BROCKSCHMIDT, "Developing Applications with OLE 2.0", September 13, 1994, (http://msdn.microsoft.com/library/en-us/dnolegen/html/msdn_devwole2.asp?frame=true)					
		Kraig BROCKSCHMIDT, "OLE Integration Technologies: A Technical Overview", October 1994 (http://msdn.microsoft.com/ library/en-us/dnolegen/html/msdn_ddjole.asp?frame=true)					
		Kraig BROCKSCHMIDT, "What OLE is Really About", July 1996 (http://msdn.microsoft.com/library/en-us/dnolegen/html/msdn_aboutole.asp?frame=true))					
	<u> </u>						

	THE RESIDENCE OF STREET, SHAPE OF STREET	
Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.